

U.S. PROVISIONAL APPLICATION NUMBER



Attorney Docket No.

## MERCHANT & GOULD P.C.

## United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

are named below) of the subject ma NEW HUMAN HEPATOMA-DERIVED O AND PRODUCING METHOD THEREO The specification of which	atter which is claimed and for w GROWTH FACTOR ENCODING SE	hich a patent is sought or	below) or a joint inventor (if plural inventors in the invention entitled: DE ENCODED BY SUCH DNA SEQUENCE					
a. is attached hereto b. was filed on	as application social po	and was ama	nded on (if anyliashle) (in the case of a					
	as application serial no.	PCT/CN99/00139 fil	nded on (if applicable) (in the case of a					
PCT-filed application) described and claimed in international no. PCT/CN99/00139 filed 06.09.1999 and as amended on (if any), which I have reviewed and for which I solicit a United States patent.								
I hereby state that I have reviewed any amendment referred to above.	and understand the contents of	the above-identified spec	ification, including the claims, as amended by					
I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).								
I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:								
a. no such applications have been filed. b. such applications have been filed as follows:								
FORI	EIGN APPLICATION(S), IF ANY, C	CLAIMING PRIORITY UND	ER 35 USC § 119					
COUNTRY	APPLICATION NUMBER	DATE OF FILING	DATE OF ISSUE					
		(day, month, year)	(day, month, year)					
China	98119758.2	22.09.1998						
	<u> </u>	<del></del>						
	IGN APPLICATION(S), IF ANY, F	<del></del>						
COUNTRY	APPLICATION NUMBER	DATE OF FILING	DATE OF ISSUE					
	<del></del>	(day, month, year)	(day, month, year)					
below and, insofar as the subject manner provided by the first parag defined in Title 37, Code of Federa or PCT international filing date of	natter of each of the claims of the raph of Title 35, United States (al Regulations, § 1.56(a) which this application.	nis application is not disci Code, § 112, I acknowled occurred between the fili	es and PCT international application(s) listed osed in the prior United States application in the ge the duty to disclose material information as ng date of the prior application and the national					
U.S. APPLICATION NUMBER		(day, month, year)	STATUS (patented, pending, abandoned)					
I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:								

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

DATE OF FILING (Day, Month, Year)



$\sim$				
Albrecht, John W.	Reg. N . 40,481	Kowalchyk, Katherine M.	Reg. No. 36,848	
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Batzli, Brian H.	Reg. No. 32,960	Liepa, Mara E.	Reg. No. 40,066	
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Carlson, Alan G.	Reg. No. 25,959	Phillips, John B.	Reg. No. 37,206	
Caspers, Philip P.	Reg. No. 33,227	Plunkett, Theodore	Reg. No. 37,209	
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Gould, John D.	Reg. No. 18,223	Swenson, Erik G.	Reg. No. 45,147	
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Hamer, Samuel A.	Reg. No. P-46,754	Tuchman, Ido	Reg. No. 45,924	
Hamre, Curtis B.	Reg. No. 29,165	Underhill, Albert L.	Reg. No. 27,403	
Harrison, Kevin C.	Reg. No.P-46,759	Vandenburgh, J. Derek	Reg. No. 32,179	
Hertzberg, Brett A.	Reg. No. 42,660	Wahl, John R.	Reg. No. 33,044	
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Knearl, Homer L.	Reg. No. 21,197	Zeuli, Anthony R.	Reg. No. 45,255	
Kowalchyk, Alan W.	Reg. No. 31,535			

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould P.C. to the contrary.

Please direct all correspondence in this case to Merchant & Gould P.C. at the address indicated below:

Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903





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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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## § 1.56 Duty to disclose informati n material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

or

- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.